

Audit and Governance Committee Work Programme

Forward Plan

Chairman: Cllr David Harris
Vice Chairman: Cllr Clare Sutton

Agreed Items (yet to be scoped and/or scheduled)

All items that have been agreed for coverage by the Committee have been scheduled in the Forward Plan accordingly.

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
19 January 2018 (10.00am)	1	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Report of Internal Audit Activity – Plan Progress 2017/18</u>	To receive a report on SWAP’s independent work and assess the Council’s risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	<u>Treasury Management Year to Date Update</u>	To consider the update on treasury management 2017-18.	David Wilkes Finance Manager (Treasury and Investments)
	4	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
	5	<u>Ironman 2017- Post Event Report</u>	To consider a report following the Ironman event held in September 2017.	Cabinet Member – Environment, Infrastructure and Highways Andrew Martin Service Director – Highways & Emergency Planning
	6	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
12 March 2018 (10.00am)	1	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Internal Audit Plan</u>	To consider the Internal Audit Plan for the forthcoming year.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)

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	3	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
	4	<u>Annual Audit Letter</u>	This report summarises the key findings from the audit of Dorset County Council.	Darren Gilbert Director, KPMG
	5	<u>External Audit Plan</u>	To consider the External Audit Plan for the forthcoming year.	Darren Gilbert Director, KPMG
	6	<u>Draft Annual Governance Statement and Local Code of Corporate Governance</u>	To consider the Annual Governance Statement which sets out key features of the governance framework in place in the Authority and provides a review of its effectiveness.	Mark Taylor Group Manager (Governance and Assurance)
	7	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
29 June 2018 (10.00am)	1	<u>Financial Management Report</u> (including Statement of Accounts)	To consider the Financial Management Report and Statement of Accounts for 2017/18 that has been reviewed by the Authority's external auditor, KPMG	Jim McManus Chief Accountant
	2	<u>Annual Internal Audit Report</u>	To receive the annual report of internal audit activity and to provide an independent opinion on the Council's governance, risk and control framework for 2017/18.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	2	<u>External Audit Report 2017/18</u> (ISA 260 Report)	To consider the External Auditor's report to "Those charged with Governance".	Darren Gilbert Director, KPMG
25 July 2018 (10.00am)	1	<u>Corporate Plan: Outcomes Focussed Monitoring Report</u>	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
	2	<u>Corporate Compliments and Complaints Annual Report</u>	To consider the Corporate Compliments and Complaints Annual Report 1 April 2017 to 31 March 2018.	Julie Taylor Senior Assurance Manager (Complaints)
	3	<u>External Funding Monitoring Report</u>	To consider measures of bidding performance and areas of interest in relation to external funding.	Laura Cornette Corporate Policy and Performance Officer
	4	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
25 October 2018 (10.00am)	1	<u>Budget Monitoring Report</u>	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	<u>Treasury Management and Prudential Code Review</u>	To consider an update on the economic background and performance against the annual investment strategy and compliance with the Prudential Code.	David Wilkes Finance Manager (Treasury and Investments)
	3	<u>Report of Internal Audit Activity – Plan Progress 2018/19</u>	To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	4	<u>Constitutional Changes</u> (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager
Other draft items / issues identified for potential review				

Debbie Ward
Chief Executive
September 2017